## GUTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 7385-82593

Total Pages in this Submission 27

	TO THE ASSISTANT COMMISSIONER FOR PATENTS						
PTO	Box Patent Application Washington, D.C. 20231						
Fransmitted bere	ewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent appli	cation for an					
nvention entitled	•	9 ==					
Communication	n Assembly Disabling Mechanism	£ 5 2 2 2 2 2 3 2 3 3 3 3 3 3 3 3 3 3 3 3					
		3.75 15.8					
and invented by							
	al Systems, Inc.	7.					
If a CONTINUA	TION APPLICATION, check appropriate box and supply the requisite information:						
Continuat	ion 🔲 Divisional 🔲 Continuation-in-part (CIP) of prior application No.:						
Which is a:							
Continuat	ion 🗌 Divisional 🖟 Continuation-in-part (CIP) of prior application No.:						
Which is a:							
Continuat	ion   Divisional  Continuation-in-part (CIP) of prior application No.:						
Enclosed are:	Application Elements						
TÜ 1. ⊠ Filir	ng fee as calculated and transmitted as described below						
1. <u>A</u> FIIII							
2. 🗵 Spe	ecification having pages and including the following:						
a. 🛚	Descriptive Title of the Invention						
b. ⊠	Cross References to Related Applications (if applicable)						
c. 🔲	Statement Regarding Federally-sponsored Research/Development (if applicable)						
d. 🗆	Reference to Microfiche Appendix (if applicable)						
e. 🛚	-						
f. 🗀	Brief Summary of the Invention						
g. 🛚	Brief Description of the Drawings (if drawings filed)						
h. ⊠	Detailed Description						
i. 🛚	Claim(s) as Classified Below						
i. 🗅	Abstract of the Disclosure						

### UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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Total Pages in this Submission 27

#### Application Elements (Continued)

3.	$\boxtimes$	Drawing(s) (when necessary as prescribed by 35 USC 113)								
	a.									
4.		Oath or Declaration								
	a.	. □ Newly executed (original or copy) □ Unexecuted								
	b.	☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)								
	C.	☐ With Power of Attorney ☐ Without Power of Attorney								
	d.	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).								
		Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.								
☐6. ➡		Computer Program in Microfiche								
127. 13		Genetic Sequence Submission (if applicable, all must be included)								
I	a.	☐ Paper Copy								
14	b.	☐ Computer Readable Copy								
	C.	☐ Statement Verifying Identical Paper and Computer Readable Copy								
	1	Accompanying Application Parts								
8.		Assignment Papers (cover sheet & documents)								
9.		37 CFR 3.73(b) Statement (when there is an assignee)								
10.		English Translation Document (if applicable)								
11.		Information Disclosure Statement/PTO-1449   Copies of IDS Citations								
12.		Preliminary Amendment								
13.	X	Acknowledgment postcard								
14.	X	Certificate of Mailing								
		☐ First Class ☒ Express Mail (Specify Label No.): EL854695991US								

#### UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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was unintentional.

Docket No. 7385-82593

Total Pages in this Submission 27

	Accompanying Application Parts (Continued)
15.	Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.	Small Entity Statement(s) - Specify Number of Statements Submitted:
17.	Additional Enclosures (please identify below):
	Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)
<b>1</b>	Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.
	Warning
	An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of

such foreign or international application. A failure of the applicant to provide such notice

within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice

Page 3 of 4 P01USML/REV04

## UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 7385-82593

Total Pages in this Submission 27

E	Cala	ulation	and	Tranci	mittal

Fee Calculation and Transmittal									
CLAIMS AS FILED  For #Filed #Allowed #Extra Rate Fee									
For	#Filed	#Allowed	#Extra		Rate	Fee			
otal Claims	16	- 20 =	0	x	\$9.00	\$0.00			
ndep. Claims	2	- 3 =	0	x	\$42.00	\$0.00			
Multiple Dependent	Claims (checl	( if applicable)				\$0.00			
E CONTROL CONT					BASIC FEE	\$370.00			
OTHER FEE (speci	fy purpose)					\$0.00			
TOTAL FILING FEE									
<ul> <li>A check in the amount of \$370.00 to cover the filing fee is enclosed.</li> <li>☑ The Commissioner is hereby authorized to charge and credit Deposit Account No. 23-0920 as described below. A duplicate copy of this sheet is enclosed.</li> <li>☐ Charge the amount of as filing fee.</li> <li>☑ Credit any overpayment.</li> <li>☑ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.</li> <li>☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).</li> </ul>									
Dated: 1/4/2002			_	7	Signature				

cc:

PTO/SB/17 (XX-XX)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to r espond to a collection of information unless it displays a valid OMB control number

\$410.00

# FEE TRANSMITTAL for FY 2002 Patent fees are subject to annual revision TOTAL AMOUNT OF PAYMENT

Complete if Known				
Application Number				
Filing Date				
First Named Inventor	Gary O'Connor			
Examiner Name				
Group Art Unit				
Attorney Docket No.	7385-82593			

METHOD OF PAYMENT	FEE CALCULATION (continued)					
1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to	3. ADDITIONAL FEES Large Entity Small Entity					
Deposit	Large E		Small t	-ntity Fee	Fee Description	Fee Paid
Account Number 23-0920	Code 105	<b>(\$)</b> 130	Code 205	(\$) 65	Surcharge - late filing fee or oath	T CC T ala
Deposit Account Name (7385-82593)	127	50	227		Surcharge - late provisional filing fee or cover sheet	
— Chases Asy Additional Fac Begyrod	139	130	139	130	Non - English specification	
Under 37 CFR §§ 1 16 and 1.17	147	2,520	147	2,520	For filing a request for ex parte reexamination	
Applicant claims small entity status. See 37 CFR § 1 27	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
2. Payment Enclosed:	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
Check Credit card Money Order Other	115	110	215	55	Extension for reply within first month	
FEE CALCULATION	116	400	216	200	Extension for reply within second month	
1. BASIC FILING FEE	117	920	217	460	Extension for reply within third month	
Large Entity Small Entity	118	1,440	218	720	Extension for reply within fourth month	
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	128	1,960	228	980	Extension for reply within fifth month	
101 740 201 370 Utility filing fee 370.00	119	320	219	160	Notice of Appeal	
106 330 206 165 Design filing fee	120	320	220	160	Filing a brief in support of an appeal	
107 510 207 255 Plant filing fee	121	280	221	140	Request for oral hearing	
108 740 208 370 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
114 160 214 80 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable	
SUBTOTAL (1) \$370.00	141	1,280	241	640	Petition to revive - unintentional	
2. EXTRA CLAIM FEES	142	1,280	242	640	Utility issue fee (or reissue)	
Fee from	143	460	243	230	Design issue fee	
Extra Claims below Fee Paid  Total Claims 16 -20** = 0 X = 0.00	144	620	244		Plant issue fee	
Total Claims 16 -20** = 0 X = 0.00 Independent 2 - 3** = 0 X = 0.00	122	130	122	130	Petitions to the Commissioner	
Claims Multiple Dependent	123	50	123	50	- '"	
Large Entity Small Entity	126	180	126	180	Submission of Information Disclosure Statement	
Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
103 18 203 9 Claims in excess of 20	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim, if not paid	149	740	249	370	For each additional invention to be examined	
	179	740	279	370	(37 CFR § 1 129(b)) Request for Continued Examination (RCE)	
109 84 209 42 ** Reissue independent claims over original patent	169				Request for expedited examination	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent			(specify		of a design application	
SUBTOTAL (2) \$0.00					_	
**or number previously paid, if greater; For Reissues, see above	*Re	duced	by Basi	c Filing	Fee Paid SUBTOTAL (3)	\$40.00
SUBMITTED BY				Complete (if applicable)		

34,137 Telephone 312/655-1500 Jon P. Christensen Name (Print/Type (Attorney/Agent) 1/4/2002 Signature

Registration No.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

#### **Certificate of Express Mailing**

Express Mail mailing label number EL854695991US

Date of Deposit: January 4, 2002

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail" Post Office to Addressee. Service under 37 CFR 1.10 is on the date indicated above and addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231. The person mailing this paper is:

Fred Franks

Typed or Printed Name of Person Mailing Paper of Fee

Signature of Person Mailing Paper or Fee